

APR 23 2001

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FILE COPY PATENT

Case Docket No. GENENT.2827A2

Date: April 18, 2001

Page 1

In re application of : Pennica et al.  
App. No. : 09/759,056  
Filed : January 11, 2001  
For : NOVEL STRA6  
POLYPEPTIDES  
Examiner : Unknown  
Art Unit : 1645

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(Date)

Ginger R. Dreger, Reg. No. 33,055

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ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

ATT: OFFICE OF INITIAL PATENT EXAMINATION'S CUSTOMER SERVICE CENTER

Sir:

Transmitted herewith is a PRELIMINARY AMENDMENT in the above-identified application.

(X) REQUEST FOR CORRECTED FILING RECEIPT.  
(X) Copy of Official Filing Receipt.

The fee has been calculated as shown below:

CLAIMS AS FILED

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims	95	0	\$18	\$0
Independent Claims	33	0	\$80	\$0
			\$270	\$0

If application has been amended to contain multiple  
dependent claim(s), then add

Time Extension Fee \$0

(X) Return prepaid postcard

PATENT

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(X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.

Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record

W: DOCS GRD GRD-5253 DOC  
041701

GENENT.2827A2

PATENT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

REQUEST FOR CORRECTED FILING RECEIPT

ATT:OFFICE OF INITIAL PATENT EXAMINATION'S  
CUSTOMER SERVICE CENTER  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicants hereby request that the Official Filing Receipt, a copy of which is enclosed, be corrected to reflect the true and correct name of the First Inventor as DIANE PENNICA, whose address is 2417 Hale Drive, Burlingame, CA 94010. The Second Inventor, Victoria Smith's address is 19 Dwight Road, Burlingame, CA 94010, and the Third Inventor, William I Wood's address is 35 Southdown Court, Hillsborough, CA 94010. Presently, the Filing Receipt incorrectly shows the name of the First Inventor as Dianne Pennica, with Residence Not Provided; also Residence Not Provided is shown for the addresses of the Second and Third Inventors, Victoria Smith and William I. Wood.

As the errors cited in the Official Filing Receipt were incurred through the fault of the

Appl. No. : 09/759,051  
Filed : January 11, 2001

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No. 11-1410 for any fees that may be incurred with this request.

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Respectfully submitted,

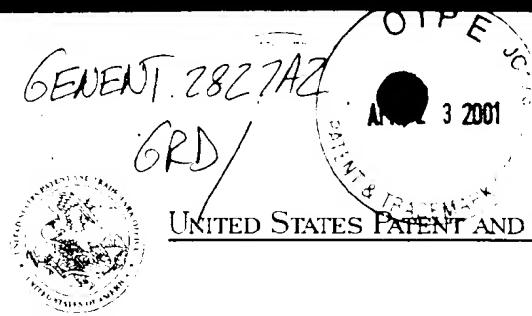
KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 1/11/01

By: (Signature)

Ginger R. Dreger  
Registration No. 33,055  
Attorney of Record  
620 Newport Center Drive  
Sixteenth Floor  
Newport Beach, CA 92660  
(415) 954-4114

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/759,056	01/11/2001	1645	6288	GENENT.2827A2	38	95	32

## CONFIRMATION NO. 1938

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

## FILING RECEIPT



OC000000005925680

Date Mailed: 04/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Dianne

Dianne Pennica, Residence Not Provided;  
Victoria Smith, Residence Not Provided;  
William I. Wood, Residence Not Provided,

NO DATES DOCKETED  
ATTORNEY RESPONSIBLE  
INITIAL \_\_\_\_\_

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/228,914 08/29/2000  
AND CLAIMS BENEFIT OF 60/197,089 04/14/2000  
AND CLAIMS BENEFIT OF 60/175,849 01/13/2000

19 Dwight Road, Beltingame CA 94010  
35 Southdown Court  
Hillsborough CA 94010

## Foreign Applications

If Required, Foreign Filing License Granted 03/28/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Title

Novel Stra6 polypeptides

Preliminary Class

435

Data entry by : ASGEDOM, GENET

Team : OIPE

Date: 04/02/2001

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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